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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: unassigned

**Applicant** 

Zongqin Xia, et al.

Filed

: Unassigned

Art Unit

: 1654 (in parent application)

Examiner

Susan D. Coe (in parent application)

Title

SMILAGENIN AND ITS USE

Docket No.:

HASEL-65949

October 21, 2003

Customer No.

24201

Los Angeles, California

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## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b), enclosed is Form PTO SB008A, listing art which may be material to patentability of the invention, together with copies of references listed therein. In addition to this IDS, Applicants presume that the Examiner will refer to the Information Disclosure Statements previously submitted in U.S. Patent Application Nos. 10/228,153; 09/866,234; and 09/362,328 from which this application claims priority.

No fees are seen as being necessary in connection with this paper. Please charge any additional fees or credit any overpayment to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER TATTON LEE & UTECHT, LLP

By:

Scott R. Hansen

Registration No. 38,486

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		Application Number Unassigned Filing Date Herewith First Named Inventor Zongqin Xia Art Unit 1654 Examiner Name Sue D. Coe					
	(use as many shee	ets as	s necessary)	Examiner Name	Sue D. Coe		
Sheet	1	of	2	Attorney Docket Number	HASEL-65949		

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number  2 Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,890,438	06/17/1975	Cayen et al.	
		US- 4,680,289	07/14/1987	Applezweig	
		US- 5,017,562	05/21/1991	Holmes et al.	
		US- 6,258,386	07/10/2001	Xia et al.	
		US-			
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	FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Initials*		Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>						
		DE	4303214	Al	08/11/1994	Wolfgang Marks				
		wo	9916786	Αî	08/04/1999	Institute of Radiation				
		EP	1024146	A1	08/02/2000	Institute of Radiation				
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (05-03)
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STA	TEMENT	BY	APPLICANT	First Named Inventor	Zongqin Xia	
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	(use as man	y sheets	as necessary)	Examiner Name	Sue D. Coe	
Sheet	2	of	2	Attorney Docket Number	HASEL-65949	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BLUNDEN, ET AL., "Steroidal Sapogenins from Leaves of Agaveae Species" (Abstract), Phytochemistry, 1978, 17(11), 1923-1926.	
		NINGYU, ET AL., "Sarsasapogenin: Mechanism in Treating Senile Dementia," (Paper 62), Synthesis and Applications of Isotopically Labelled Compounds, 1997, pp. 315-320, John Wiley & Sons Ltd.	
· <u> </u>		NINGYU ET AL., "The Mechanism of a Sapogenin From Anemarrhenae Asphodeloides Bge in the Treatment of Senile Dementia" (Abstract), Proceedings of the 6th International Symp. of the International Isotope Society, Philadelphia, 1997, page 103.	
		MA ET AL., "The Use of Steroidal Saponin Compounds to Prevent Senility, and Novel Steroid Saponin Compounds" (Abstract), Copyright 1999.	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.